

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of	)	
Jerome R. BELLEGARDA et al	)	Group Art Unit: Unassigned
Application No.: Unassigned	)	Examiner: Unassigned
Filed: August 21, 2003	)	Confirmation No.: Unassigned
For: METHOD AND APPARATUS FOR	)	
AUTOMATIC FILE CLUSTERING	)	
INTO A DATA-DRIVEN, USER-	)	
SPECIFIC TAXONOMY	)	

**INFORMATION DISCLOSURE STATEMENT  
TRANSMITTAL LETTER**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Enclosed is an Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.

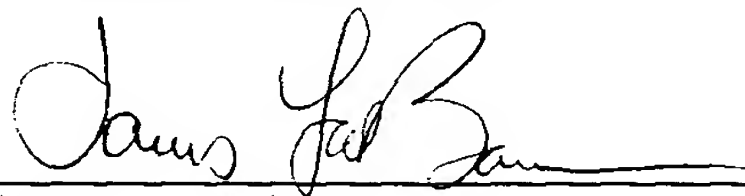
- ☒ No additional fee for submission of an IDS is required.
- ☐ The fee of \$180.00 (1806) as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- ☐ A statement under 37 C.F.R. § 1.97(e) is also enclosed.
- ☐ A statement under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (1806) as set forth in 37 C.F.R. § 1.17(p) are also enclosed.
- ☐ Charge \$\_\_\_\_\_ to Deposit Account No. 02-4800 for the fee due.
- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed for the fee due.

The Director is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

Date: August 21, 2003

By:   
James A. LaBarre  
Registration No. 28,632

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(703) 836-6620

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**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. §1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. A copy of each of the non-U.S. documents cited below is enclosed.

**Miscellaneous Documents**

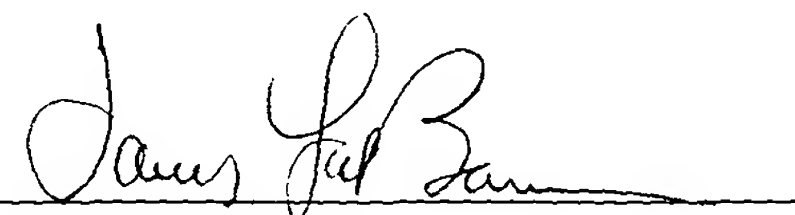
Groxis Product Overview, Grokker, Breaking the Page Barrier, August 12, 2003, 4 pps.

To assist the Examiner, the references are listed on the attached form PTO-1449. It is respectfully requested that an initialed copy of this form be returned to the undersigned.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

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Alexandria, Virginia 22313-1404  
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James A. LaBarre  
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Date: August 21, 2003

Substitute for forms 1449A/PTO & 1449B/PTO	ATTORNEY'S DKT NO. P2989-908	APPLICATION NO. Unassigned
	APPLICANT Jerome R. BELLEGARDA et al	
	FILING DATE August 21, 2003	GROUP Unassigned
	<b>FIRST INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>	

U.S. PATENT DOCUMENTS				
Examiner Initials	Document Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Issue/Publication Date (MM-DD-YYYY)

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Document Number	Kind Code (if known)	Country	Date of Publication (MM-DD-YYYY)	Translation	
					Yes	No

NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
	Groxis Product Overview, Grokker, Breaking the Page Barrier, August 12, 2003, 4 pps.

Examiner Signature		Date Considered	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. **SEND TO:** Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.